



2132

#11

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | | | |
|--|---|------------------------|----------------------|
| Total Number of Pages in This Submission | 6 | Application No. | 10/039,961 |
| | | Filing Date | December 31, 2001 |
| | | First Named Inventor | Andrew F. Glew |
| | | Art Unit | 2132 |
| | | Examiner Name | Gilberto Barron, Jr. |
| | | Attorney Docket Number | 42390P13736 |

ENCLOSURES (check all that apply)

| | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Response | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | <div style="border: 1px solid black; padding: 5px;">Cited References</div> |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) | |
| <input checked="" type="checkbox"/> PTO/SB/08 | | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | |
| <input type="checkbox"/> Basic Filing Fee | | |
| <input type="checkbox"/> Declaration/POA | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |
| Remarks | | |

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Technology Center 2100

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|--|
| Firm or Individual name | William W. Schaal, Reg. No. 39,018 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |
| Signature | |
| Date | April 2, 2004 |

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

| | |
|-----------------------|------------------|
| Typed or printed name | Corrinn R. Davis |
| Signature | |
| Date | April 2, 2004 |



FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known

| | |
|----------------------|----------------------|
| Application Number | 10/039,961 |
| Filing Date | December 31, 2001 |
| First Named Inventor | Andrew F. Glew |
| Examiner Name | Gilberto Barron, Jr. |
| Art Unit | 2132 |
| Attorney Docket No. | 42390P13730 |

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METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None
 Deposit Account

Deposit Account Number **02-2666**

Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Paid |
|---------------------|----------|--------------|----------|------------------------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1001 | 770 | 2001 | 385 | Utility filing fee |
| 1002 | 340 | 2002 | 170 | Design filing fee |
| 1003 | 530 | 2003 | 265 | Plant filing fee |
| 1004 | 770 | 2004 | 385 | Reissue filing fee |
| 1005 | 160 | 2005 | 80 | Provisional filing fee |
| SUBTOTAL (1) | | (\$) | | |

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------------|-----------------------------------|----------------------------|----------------------------|
| <input type="checkbox"/> | - 20** = <input type="checkbox"/> | X <input type="checkbox"/> | = <input type="checkbox"/> |
| <input type="checkbox"/> | - 3 = <input type="checkbox"/> | X <input type="checkbox"/> | = <input type="checkbox"/> |
| Multiple Dependent | | | |

| Large Entity | | Small Entity | | Fee Description |
|---------------------|----------|--------------|----------|---|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple Dependent claim, if not paid |
| 1204 | 86 | 2204 | 43 | **Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | (\$) | | |

**or number previously paid, if greater, For Reissues, see below

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Description | Fee Paid |
|---------------------|--------------|-----------------|---|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) |
| 1051 | 130 | 2051 | 65 Surcharge - late filing fee or oath |
| 1052 | 50 | 2052 | 25 Surcharge - late provisional filing fee or cover sheet. |
| 2053 | 130 | 2053 | 130 Non-English specification |
| 1812 | 2,520 | 1812 | 2,520 For filing a request for ex parte reexamination |
| 1804 | 920* | 1804 | 920 * Requesting publication of SIR prior to Examiner action |
| 1805 | 1,840* | 1805 | 1,840 * Requesting publication of SIR after Examiner action |
| 1251 | 110 | 2251 | 55 Extension for reply within first month |
| 1252 | 420 | 2252 | 210 Extension for reply within second month |
| 1253 | 950 | 2253 | 475 Extension for reply within third month |
| 1254 | 1,480 | 2254 | 740 Extension for reply within fourth month |
| 1255 | 1,210 | 2255 | 605 Extension for reply within fifth month |
| 1404 | 330 | 2401 | 165 Notice of Appeal |
| 1402 | 330 | 2402 | 165 Filing a brief in support of an appeal |
| 1403 | 290 | 2403 | 145 Request for oral hearing |
| 1451 | 1,510 | 2451 | 1,510 Petition to institute a public use proceeding |
| 1452 | 110 | 2452 | 55 Petition to revive - unavoidable |
| 1453 | 1,330 | 2453 | 665 Petition to revive - unintentional |
| 1501 | 1,330 | 2501 | 665 Utility issue fee (or reissue) |
| 1502 | 480 | 2502 | 240 Design issue fee |
| 1503 | 640 | 2503 | 320 Plant issue fee |
| 1460 | 130 | 2460 | 130 Petitions to the Commissioner |
| 1807 | 50 | 1807 | 50 Processing fee under 37 CFR 1.17(q) |
| 1806 | 180 | 1806 | 180 Submission of Information Disclosure Stmt |
| 8021 | 40 | 8021 | 40 Recording each patent assignment per property (times number of properties) |
| 1809 | 770 | 1809 | 385 Filing a submission after final rejection (37 CFR § 1.129(a)) |
| 1810 | 770 | 2810 | 385 For each additional invention to be examined (37 CFR § 1.129(b)) |
| 1801 | 770 | 2801 | 385 Request for Continued Examination (RCE) |
| 1802 | 900 | 1802 | 900 Request for expedited examination of a design application |
| Other fee (specify) | | | |
| SUBTOTAL (3) | | (\$) | |

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

Complete (if applicable)

| | | | | | |
|-------------------|-------------------|--------------------------------------|--------|-----------|----------------|
| Name (Print/Type) | William W. Schaal | Registration No. (Attorney/Agent) | 39,018 | Telephone | (714) 557-3800 |
| Signature | | | | Date | 04/02/04 |

Based on PTO/SB/17 (10-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wir) 02/10/2004.
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Docket No.: 42390P13736

#11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

ANDREW F. GLEW, ET AL.

Application No.: 10/039,961

Filed: December 31, 2001

For: **Processor Supporting Execution of an
Authenticated Code Instruction**

Art Group: 2132

Examiner: Gilberto Barron, Jr.

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Technology Center 2100

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

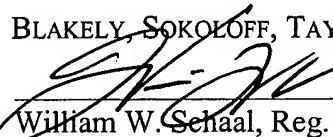
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Applicant has concurrently submitted an electronic Information Disclosure Statement (IDS) for the above-referenced patent application. In the concurrently filed electronic IDS submission, it was requested that any requisite fees be debited from Deposit Account 02-2666. Applicant respectfully requests that only one fee be debited for these concurrently filed IDS submissions if such fees are required.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: April 02, 2004


William W. Schaal, Reg. No. 39,018

12400 Wilshire Boulevard, 7th Floor
Los Angeles, CA 90025
Telephone: (714) 557-3800

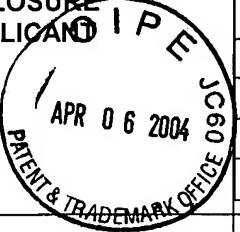
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



04-02-04

Corinn R. Davis

Date

| | | | | | | |
|--|--|------------------------------|--|-------------------|--|--|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | Complete if Known | | | | |
|  | | Application Number | | 10/039961 | | |
| | | Filing Date | | December 31, 2001 | | |
| | | First Named Inventor | | Glew, Andrew | | |
| | | Group Art Unit | | Unknown | | |
| | | Examiner Name | | Unknown | | |
| Sheet 1 of 1 | | Attorney Docket No: 42P13736 | | | | |

| US PATENT DOCUMENTS | | | | | | |
|---------------------|---------------------|------------------|---|-------|----------|----------------------------|
| Examiner Initials* | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | Filing Date If Appropriate |
| | | | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|---------------------|------------------|---|-------|----------|------------------------|
| Examiner Initials* | Foreign Document No | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | T ² |
| | EP-EP0961193 | 12/01/1999 | Laczko, Sr., Frank L. | | | |
| | EP-EP0965902 | 12/22/1999 | Force, Gordon , et al. | | | |
| | EP-EP1085396 | 03/21/2001 | Proudler, Graeme J., et al. | | | |
| | EP-EP1271277 | 01/02/2003 | Behar, Yaachov | | | |
| | WO-WO0021238 | 04/13/2000 | Drews, Paul C. | | | APR 08 2004 |
| | WO-WO0163994 | 08/30/2001 | Van Sant, Glen , et al. | | | |
| | WO-WO9524696 | 09/14/1995 | Mooney, David M., et al. | | | Technology Center 2100 |
| | WO-WO99/65579 | 12/23/1999 | Bond, Eugene T. | | | |

| OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS | | | | | | |
|--|----------------------|---|--|--|--|----------------|
| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | | T ² |
| | | | | | | |

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached